

UNDP Social and Environmental Screening Template (v. July 2022)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

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1. Project Title	Realizar acciones destinadas a la construcción de la paz total como política de estado, en el marco de las negociaciones y/o conversaciones con grupos armados y sociedad civil.
2. Project Number (i.e. Atlas project ID, PIMS+)	147216
3. Location (Global/Region/Country)	Colombia
4. Project stage (Design or Implementation)	Diseño
5. Date	18/11/22

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

Teniendo en cuenta la agenda de los Objetivos de Desarrollo Sostenible, el proyecto se alinea con el objetivo número 16. Paz, justicia e instituciones sólidas. Uno de los mayores retos en un escenario de estabilización es fortalecer las capacidades requeridas para cumplir con la Agenda 2030. Este proyecto se basa y complementa el trabajo existente del PNUD en el apoyo operativo a los diálogos de paz con grupos armados, en línea con el principio orientador del peacekeeping del Sistema de las Naciones Unidas, al tiempo que y proporciona un apoyo estratégico del ODS 16 y en la articulación para la materialización de la paz. Se espera fortalecer la capacidad del gobierno para llevar a cabo los diálogos de paz. Desde el proyecto reconoce y respeta la diversidad étnica, la identidad cultural. En los casos en que corresponda, se definirán mecanismos de diálogo con las autoridades étnico-territoriales en cada una de las etapas del proyecto, garantizando su participación en los espacios de gobernanza local para el proyecto.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

En general se garantizará igualdad de oportunidades y derechos para las mujeres en el marco de este proyecto promoviendo el ejercicio pleno de su ciudadanía y diversificando las oportunidades para su participación cualificada dentro de los diálogos. Para ello, se incluyen acciones de género y cuidado que buscan facilitar los procesos de empoderamiento de la mujer en el marco de la política de Paz Total, permitiendo erradicar brechas de desigualdad de género en los ámbitos sociales, económicos y culturales. El diseño e implementación de la intervención reconoce los factores conectores y divisores que pueden afectar la participación efectiva de las mujeres en estos procesos. A través del reconocimiento de diferenciales de hombres y mujeres en el tránsito a la vida civil y la promoción de acciones afirmativas que reviertan las desventajas históricas que han tenido las mujeres en todos los ámbitos, se busca generar condiciones que propendan por la igualdad de género.

Briefly describe in the space below how the project mainstreams sustainability and resilience

El proyecto adelantará las medidas pertinentes de participación, diálogo, concertación y seguimiento de las intervenciones como una forma preventiva con las comunidades, institucionalidad local, el equipo de trabajo con el fin de generar la articulación, prevención, concientización y adaptación a las realidades territoriales, evitando impactos ambientales negativos, generando la apropiación, confianza, sostenibilidad y transformaciones sociales en el territorio.

Briefly describe in the space below how the project strengthens accountability to stakeholders

De la mano del Fondo de Programas Especiales para la Paz, se generan espacios para que las partes interesadas conozcan el avance en la implementación de las acciones. De la misma manera, se generan canales para que las personas puedan presentar comentarios, quejas o sugerencias.

Part B. Identifying and Managing Social and Environmental Risks

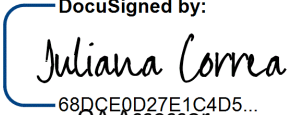

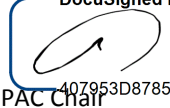
QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description <i>(broken down by event, cause, impact)</i>	Impact and Likelihood <i>(1-5)</i>	Significance <i>(Low, Moderate, Substantial, High)</i>	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Posibles incumplimientos del Gobierno Nacional a grupos de población especifican en desarrollo del plan de trabajo (posible acción con daño)	I =3 L =1	Low	La modalidad de implementación será Support to NIM con lo cual se transfiere la responsabilidad del logro de los resultados al Gobierno Nacional.	Se implementarán mecanismos de dialogo e interlocución efectiva con las comunidades involucradas y otros socios para establecer con claridad que la responsabilidad principal por el cumplimiento del plan de trabajo y los resultados esperados corresponde al Gobierno Nacional. Esto en razón a que la iniciativa corresponde a la modalidad support to NIM. En este sentido se podrá evitar cualquier inconformidad de las comunidades con respecto a la participación del PNUD en la implementación del proyecto.
Posible exclusión de socios institucionales o de sociedad civil que podrían tener un rol en las fases de diseño y/o implementación del proyecto.	I =2 L =2	Low	Sera fundamental el establecimiento de un protocolo para manejar comunicaciones del comité de proyecto.	Se aplicará un protocolo muy estricto en términos del manejo de las comunicaciones y en especial de las decisiones adoptadas por el órgano de gobernanza del proyecto para evitar que se generen expectativas que afecten la imagen del PNUD.
Quejas u objeciones de las partes potencialmente afectadas	I =2 L =2	Low	Al ser un proyecto que apoya acciones encaminadas a la política del gobierno de Paz Total, puede encontrar contradictores a nivel político.	El Proyecto se enfoca a apoyos operativos a los diálogos de paz con el ELN. En ese sentido, recoge las lecciones aprendidas y buenas prácticas que se tuvieron con los diálogos de las FARC, apoyados por la Embajada de Noruega y desarrollados por el área de Operaciones y de Paz del PNUD. Se consideran los enfoques de derechos humanos y acción sin daño en cada momento.
Posible acción con daño a grupos de mujeres y personas con orientación de genero diversa con la implementación de un plan de acción	I =2 L =1	Low	Identificar oportunidades para la transversalidad del enfoque de género a partir de los aprendizajes del PNUD en	Sugerir en el marco del órgano de gobernanza del proyecto la inclusión de acciones afirmativas para promover la igualdad y la equidad de género en el

que no considere acciones específicas para la transversalización del enfoque de género.			el apoyo a este mecanismo.	desarrollo del proyecto a partir de la experiencia del PNUD en la implementación de programas y proyectos relacionados.
Generación de residuos (no peligrosos) con el eventual desarrollo de actividades con participación masiva de público en los territorios priorizados.	I=2 L=1	Low	Adopción de estándares en términos del manejo de residuos para garantizar planes de manejo ambiental sostenible.	De presentarse esta situación, se exigirá a los proveedores de bienes y servicios el cumplimiento de protocolos de gestión integral de residuos con el fin garantizar su buen manejo.
QUESTION 4: What is the overall project risk categorization?				
Question only required for Moderate, Substantial and High Risk projects				
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)				
Question only required for Moderate, Substantial and High Risk projects				
<u>Is assessment required? (check if "yes")</u>		<input type="checkbox"/>		<i>Status?</i> <i>(completed, planned)</i>
<i>if yes, indicate overall type and status</i>		N/A	Targeted assessment(s)	
		N/A	ESIA (Environmental and Social Impact Assessment)	
		N/A	SESA (Strategic Environmental and Social Assessment)	
<u>Are management plans required? (check if "yes")</u>		<input type="checkbox"/>		
<i>If yes, indicate overall type</i>			Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)	
			<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
			<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)

<i>Based on identified risks, which Principles/Project-level Standards triggered?</i>		Comments (not required)
<i>Overarching Principle: Leave No One Behind</i>		
<i>Human Rights</i>	<input checked="" type="checkbox"/>	Se implementarán mecanismos de dialogo e interlocución efectiva con las comunidades involucradas y otros socios para establecer con claridad que la responsabilidad principal por el cumplimiento del plan de trabajo y los resultados esperados corresponde al Gobierno Nacional. Esto en razón a que la iniciativa corresponde a la modalidad support to NIM. En este sentido se podrá evitar cualquier inconformidad de las comunidades con respecto a la participación del PNUD en la implementación del proyecto.
<i>Gender Equality and Women's Empowerment</i>	<input checked="" type="checkbox"/>	Sugerir en el marco del órgano de gobernanza del proyecto la inclusión de acciones afirmativas para promover la igualdad y la equidad de género en el desarrollo del proyecto a partir de la experiencia del PNUD en la implementación de programas y proyectos relacionados.
<i>Accountability</i>	<input checked="" type="checkbox"/>	El Proyecto se regirá por las normas y procedimientos de PNUD, en donde hay claros estándares de rendición de cuentas hacia socios y contrapartes. La modalidad de implementación será Support to NIM con lo cual la responsabilidad principal del logro de los resultados se transfiere al Gobierno Nacional.
<i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i>	<input type="checkbox"/>	
<i>2. Climate Change and Disaster Risks</i>	<input type="checkbox"/>	
<i>3. Community Health, Safety and Security</i>	<input type="checkbox"/>	
<i>4. Cultural Heritage</i>	<input type="checkbox"/>	
<i>5. Displacement and Resettlement</i>	<input type="checkbox"/>	
<i>6. Indigenous Peoples</i>	<input type="checkbox"/>	
<i>7. Labour and Working Conditions</i>	<input type="checkbox"/>	
<i>8. Pollution Prevention and Resource Efficiency</i>	<input checked="" type="checkbox"/>	Adopción de estándares en términos del manejo de residuos para garantizar planes de manejo ambiental. Esto en caso de incluirse en el plan de trabajo el desarrollo de actividades con participación masiva de público en territorios priorizados.

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
<p>DocuSigned by:</p>  <p>68DCE0D27E1C4D5... QA Assessor</p>	18/11/22	Juliana Correa, Gerente de Área - Paz y Desarrollo Asesor de certificación de calidad (QA)
<p>DocuSigned by:</p>  <p>407953D87851420... QA Approver</p>	18/11/22	Alejandro Pacheco, Representante Residente Adjunto Aprobador de la garantía de calidad (QA).
<p>DocuSigned by:</p>  <p>407953D87851420... PAC Chair</p>	18/11/22	Alejandro Pacheco, Representante Residente Adjunto Aprobador de la garantía de calidad (QA)

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
<p>INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.</p>		
<p>Overarching Principle: Leave No One Behind</p> <p>Human Rights</p>		Answer (Yes/No)
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	Yes
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	Yes
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹⁶	No
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	Yes
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No

¹⁶ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		
Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	Yes
P.14	grievances or objections from potentially affected stakeholders?	Yes
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms? ¹⁷	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ¹⁸	No
1.14	adverse transboundary or global environmental concerns?	
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		

¹⁷ See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

¹⁸ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

2.1	areas subject to hazards such as earthquakes, floods, landslides, severewinds, storm surges, tsunami or volcanic eruptions?	No
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? <i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	No
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	No
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No
3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		No
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No

5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	risk of forced evictions? ¹⁹	No
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		No
6.1	areas where indigenous peoples are present (including project area of influence)?	No
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then Standard 6 requirements apply, and the potential significance of risks related to impacts on indigenous peoples must be Moderate or above. *</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		No
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	No
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No

¹⁹ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

* Note: revised July 2022 modifying presumption of risk significance from Substantial or higher to Moderate or higher.

Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2	the generation of waste (both hazardous and non-hazardous)?	Yes
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No